

# Neonatal Sepsis and Hemodynamic Support: Evolving Toward Phenotype-Driven Protocols for Superior Outcomes

Prof Amuchou Soraisham, MD, DM, FRCPC, FAAP

Professor of Pediatrics

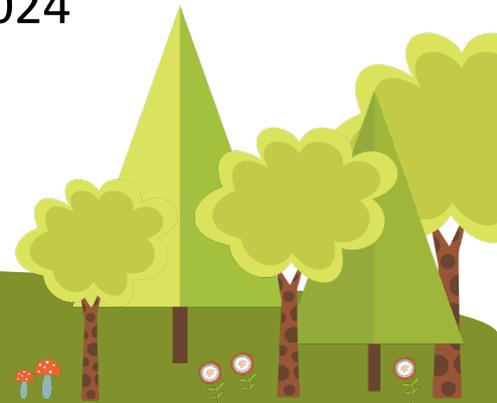
Medical Director, Foothills Hospital NICU

Chair, Calgary TNE program



18<sup>th</sup> Hot Topics in Perinatal Medicine, Jeddah 2024

UNIVERSITY OF  
CALGARY



# Disclosure & Conflict of Interest

- I have nothing to disclose



# Objective

- Review the new criteria for sepsis and septic shock in children
- Describe the hemodynamic phenotypes of sepsis shock
- Review the management of septic shock in neonates



# Neonatal Sepsis

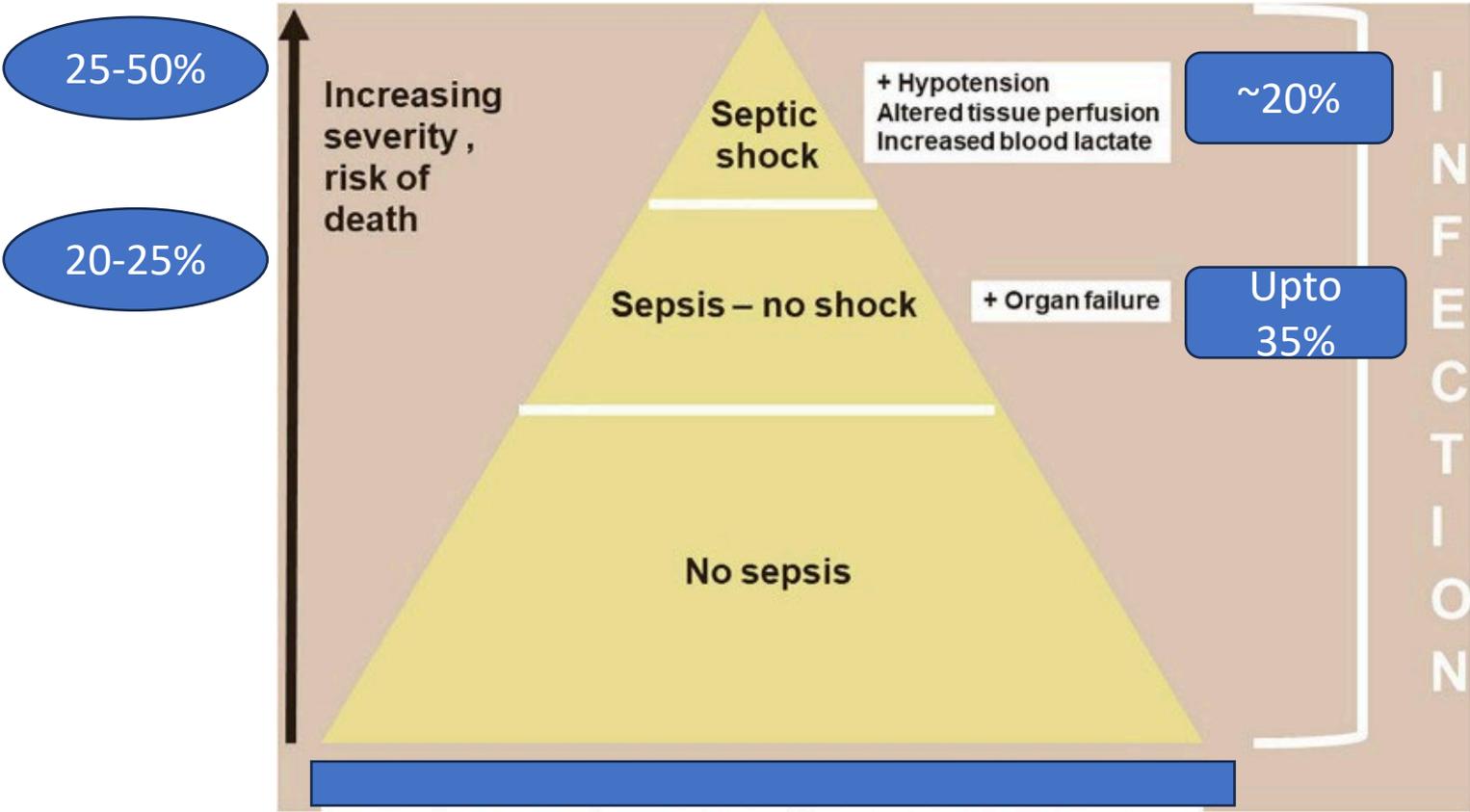
- Global Burden of disease
  - ~ 1.3 million cases of neonatal sepsis annually
  - ~ 203,000 deaths per year
- Third most common cause of mortality in neonate

GBD 2017 ;A systematic analysis for the global burden of disease study 2017. *Lancet* 2018;392:1789–858

World Health Organization: Global report on the epidemiology and burden of sepsis: current evidence, identifying gaps and future directions.



# PYRAMIDS OF INFECTION SEVERITY



Vincent JL. Sepsis and infection: Two words that should not be confused. Front Med 2023; 10;1156732



# Incidence of Sepsis in Canada

- Late-onset sepsis (LOS) < 32 weeks
- Incidence in Canada: ~ 15%; **~20-25% need cardiotropic drugs**

Year	Affected population	Mortality n (%)
2016	246	77 (31%)
2017	266	93 (35%)
2018	269	92 (34%)
2019	232	95 (41%)
<b>Average per year</b>	<b>253</b>	<b>35.4%</b>

\*Source: Canadian Neonatal Network



# New Pediatrics Sepsis Criteria (incl term neonates)

Table. Comparison of Phoenix Pediatric Sepsis Criteria With International Pediatric Sepsis Consensus Conference Criteria

	International Pediatric Sepsis Consensus Conference criteria	Phoenix pediatric sepsis criteria
<b>Sepsis</b>	<b>2005</b>	<b>2024</b>
<b>Definition</b>	SIRS in the setting of a suspected or confirmed infection: $\geq 2$ SIRS criteria, of which 1 must be temperature or white blood cell count	Life-threatening organ dysfunction in the setting of suspected or confirmed infection, defined as $\geq 2$ points on the Phoenix Sepsis Score
<b>Criteria</b>	Pediatric SIRS Criteria <ul style="list-style-type: none"> <li>• Core temperature</li> <li>• White blood cell count</li> <li>• Heart rate</li> <li>• Respiratory rate</li> </ul>	Organ dysfunction may include <ul style="list-style-type: none"> <li>• Respiratory (<math>Pao_2:Fio_2</math> or <math>SpO_2:Fio_2</math>)</li> <li>• Cardiovascular (vasoactive medications, lactate, age-specific MAP)</li> <li>• Coagulation (platelets, INR, D-dimer, fibrinogen)</li> <li>• Neurologic systems (Glasgow Coma Scale)</li> </ul>
<b>Severe sepsis</b>		
<b>Definition</b>	Sepsis with at least 1 of the following: cardiovascular organ dysfunction, acute respiratory distress syndrome, or $\geq 2$ other organ dysfunctions.	Term no longer used now that sepsis definition requires organ dysfunction
<b>Criteria</b>	Organ dysfunctions include <ul style="list-style-type: none"> <li>• Respiratory (<math>Pao_2:Fio_2</math> ratio, <math>Paco_2</math>, <math>Fio_2</math>, mechanical ventilation)</li> <li>• Neurological (Glasgow Coma Scale)</li> <li>• Hematologic (platelet count, INR)</li> <li>• Kidney (serum creatinine)</li> <li>• Hepatic (bilirubin, alanine aminotransferase)</li> </ul>	
<b>Septic shock</b>		
<b>Definition</b>	Sepsis and cardiovascular organ dysfunction <sup>a</sup>	Sepsis with $\geq 1$ point in the cardiovascular system <sup>b</sup>

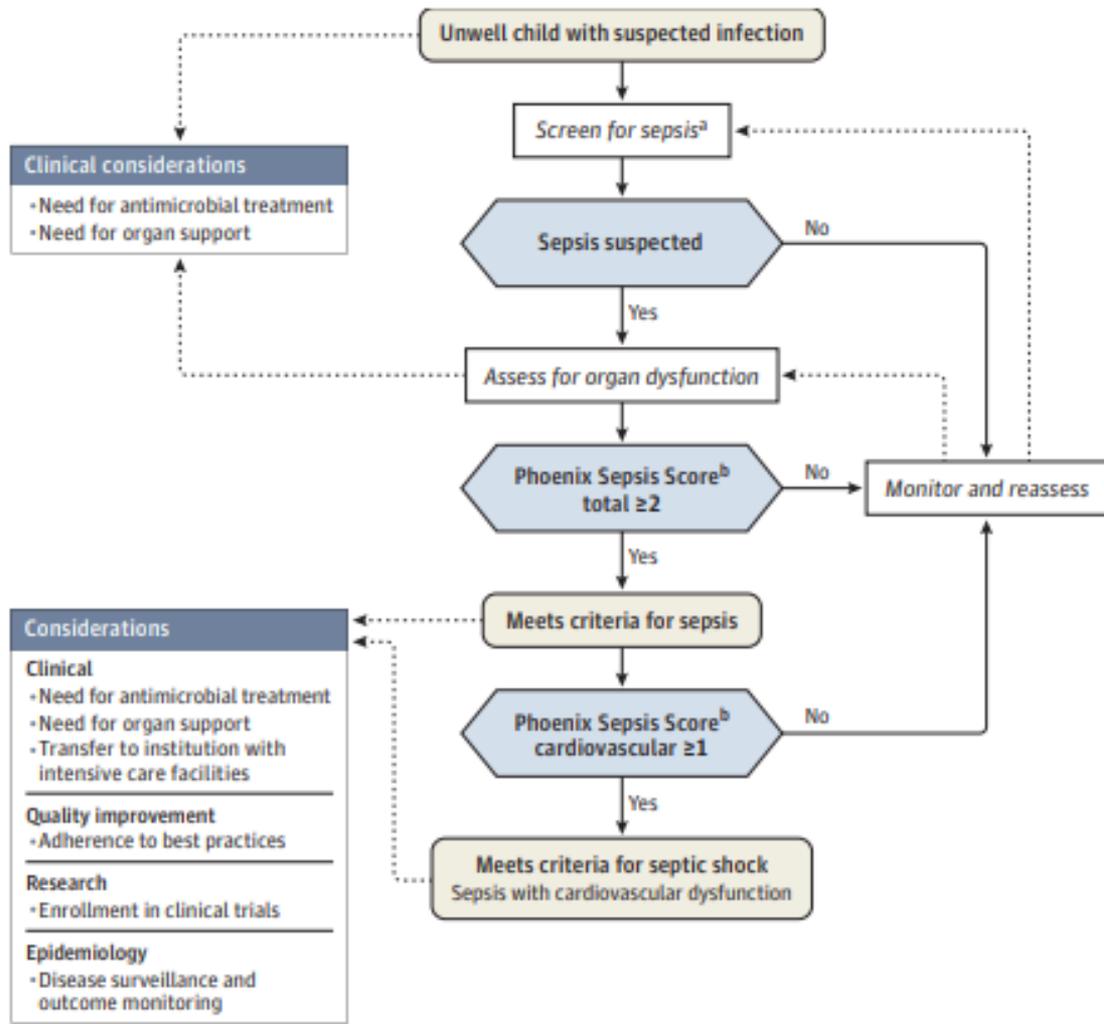
# International Consensus Criteria for Pediatric Sepsis and Septic Shock

Table. The Phoenix Sepsis Score<sup>a</sup>

Variables	0 Points	1 Point	2 Points	3 Points
<b>Respiratory, 0-3 points</b>				
	$\text{PaO}_2\text{:Fio}_2 \geq 400$ or $\text{Spo}_2\text{:Fio}_2 \geq 292^{\text{b}}$	$\text{PaO}_2\text{:Fio}_2 < 400$ on any respiratory support or $\text{Spo}_2\text{:Fio}_2 < 292$ on any respiratory support <sup>b,c</sup>	$\text{PaO}_2\text{:Fio}_2$ 100-200 and IMV or $\text{Spo}_2\text{:Fio}_2$ 148-220 and IMV <sup>b</sup>	$\text{PaO}_2\text{:Fio}_2 < 100$ and IMV or $\text{Spo}_2\text{:Fio}_2 < 148$ and IMV <sup>b</sup>
<b>Cardiovascular, 0-6 points</b>				
		1 Point each (up to 3)	2 Points each (up to 6)	
	No vasoactive medications <sup>d</sup>	1 Vasoactive medication <sup>d</sup>	$\geq 2$ Vasoactive medications <sup>d</sup>	
	Lactate $< 5$ mmol/L <sup>e</sup>	Lactate 5-10.9 mmol/L <sup>e</sup>	Lactate $\geq 11$ mmol/L <sup>e</sup>	
<b>Age based<sup>f</sup></b>				
	Mean arterial pressure, mm Hg <sup>g</sup>			
<1 mo	>30	17-30	<17	
1 to 11 mo	>38	25-38	<25	
1 to <2 y	>43	31-43	<31	
2 to <5 y	>44	32-44	<32	
5 to <12 y	>48	36-48	<36	
12 to 17 y	>51	38-51	<38	
<b>Coagulation (0-2 points)<sup>h</sup></b>				
		1 Point each (maximum 2 points)		
	Platelets $\geq 100 \times 10^3/\mu\text{L}$	Platelets $< 100 \times 10^3/\mu\text{L}$		
	International normalized ratio $\leq 1.3$	International normalized ratio $> 1.3$		
	D-dimer $\leq 2$ mg/L FEU	D-dimer $> 2$ mg/L FEU		
	Fibrinogen $\geq 100$ mg/dL	Fibrinogen $< 100$ mg/dL		
<b>Neurological (0-2 points)<sup>i</sup></b>				
	Glasgow Coma Scale score $> 10$ ; pupils reactive <sup>j</sup>	Glasgow Coma Scale score $\leq 10^l$	Fixed pupils bilaterally	
<b>Phoenix sepsis criteria</b>				
Sepsis	Suspected infection and Phoenix Sepsis Score $\geq 2$ points			
Septic shock	Sepsis with $\geq 1$ cardiovascular point(s)			



Figure. Proposed Diagnostic Flow to Characterize Patients Using the New Criteria for Sepsis and Septic Shock in Children



# Pathophysiology of Sepsis and septic shock

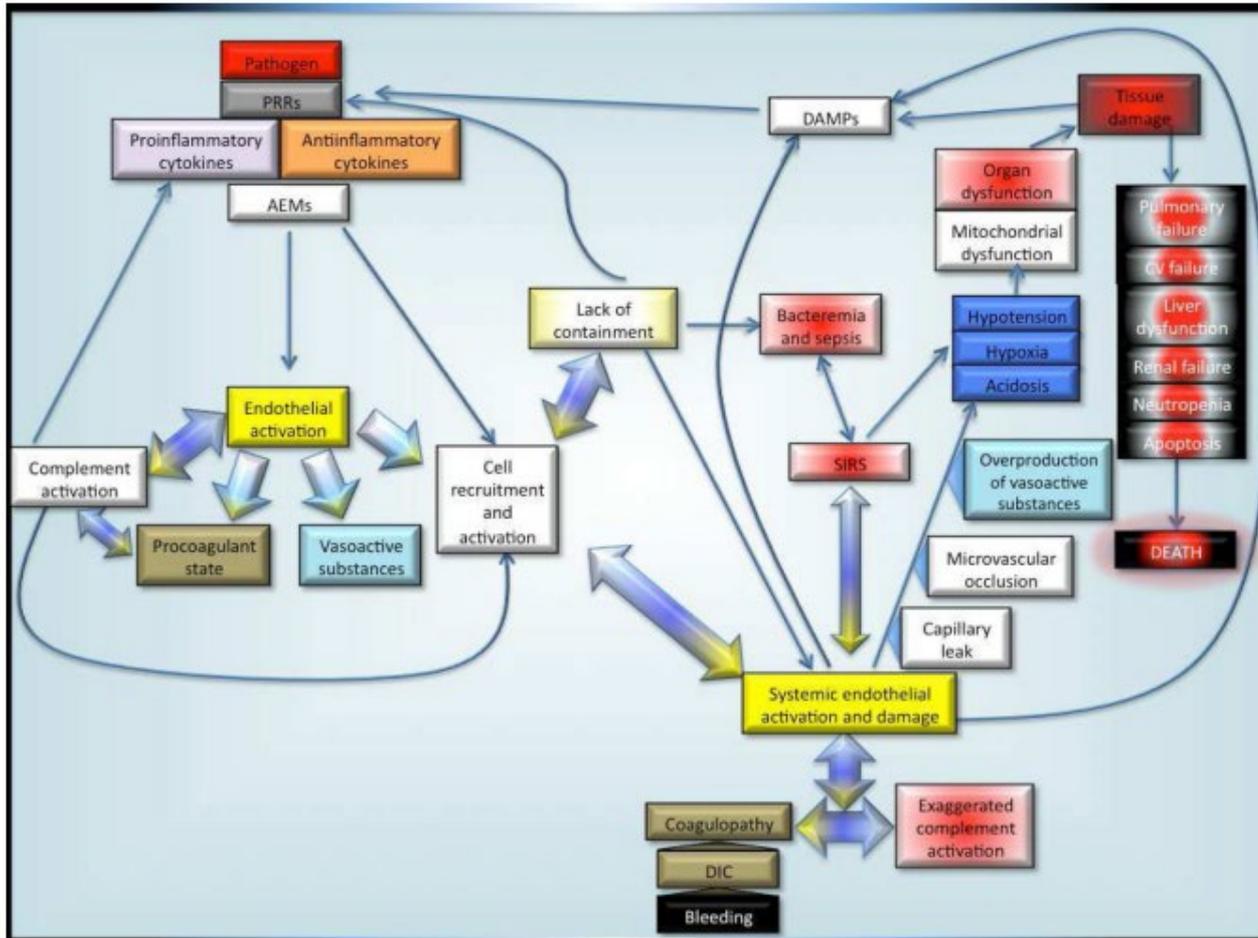
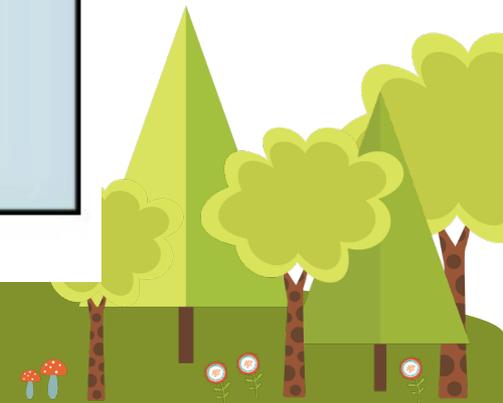
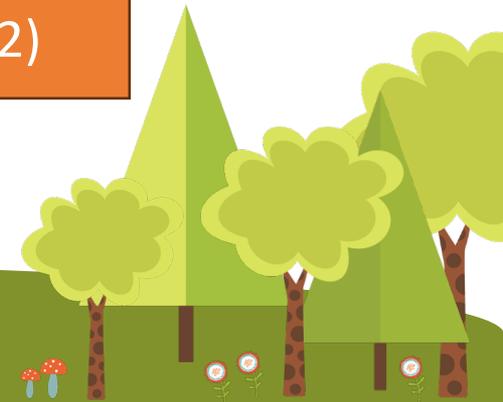
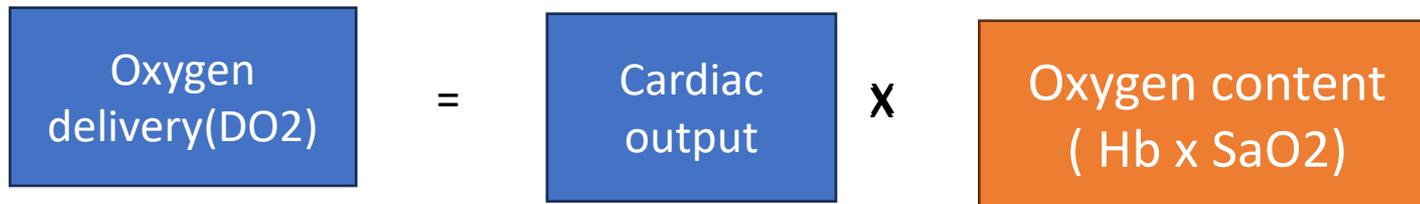
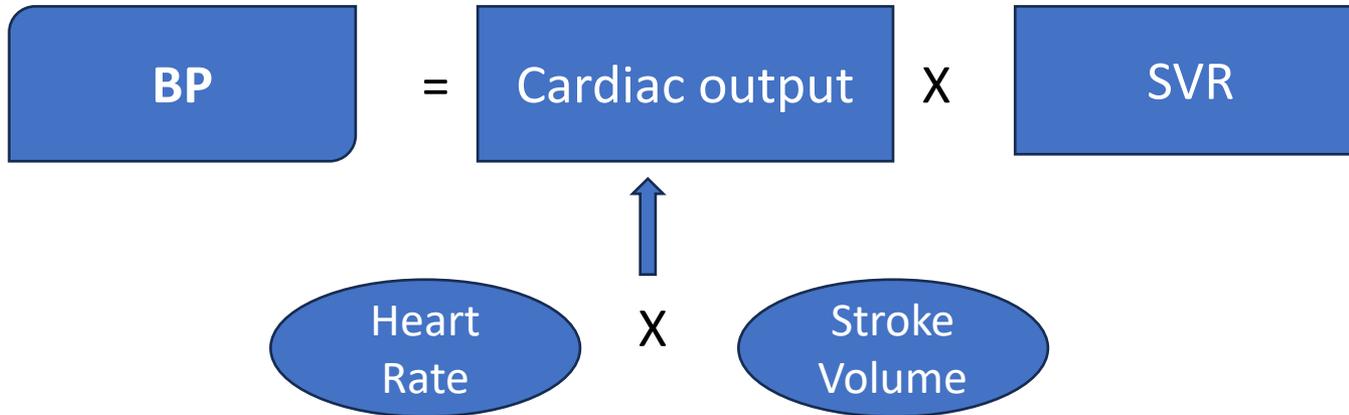
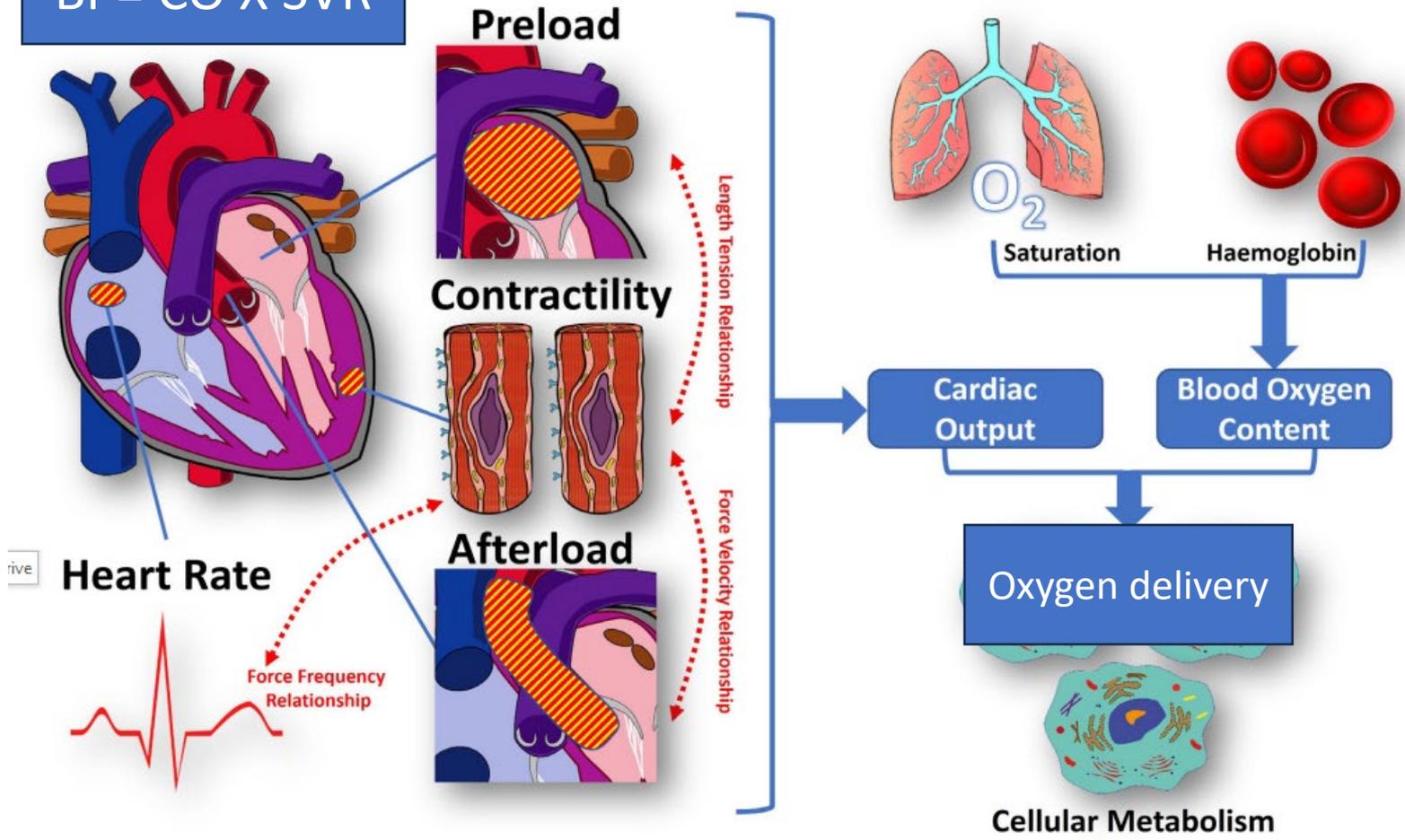


Figure 3. Pathophysiology of neonatal sepsis and septic shock

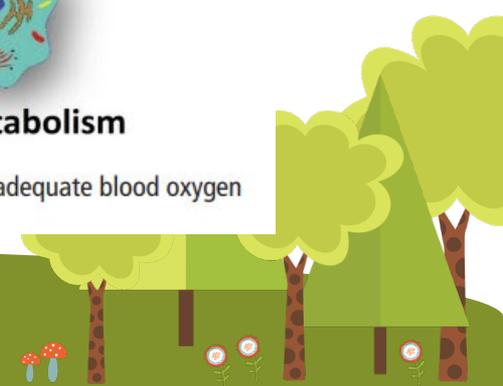




$BP = CO \times SVR$



**Figure 1** Cellular metabolism is the goal. CO is determined by preload, afterload, contractility and heart rate. CO and adequate blood oxygen concentration determine cellular metabolism. CO, cardiac output.



# Two phenotypes of septic shock

## WARM SHOCK

- **Hemodynamics**
  - Vasodilation
  - ↓ SVR, ↑ CO
- **Clinical:**
  - Warm extremities,
  - Tachycardia
  - Bounding pulses
  - Flushed CRT

## COLD SHOCK

- **Hemodynamic changes**
  - Peripheral vasoconstriction
  - ↑ SVR
- **Clinical:**
  - Cold, mottled skin
  - Weak pulses
  - Delayed CRT
  - Oliguria



# Warm shock

↓ SVR

↓ SV return  
↓ Right heart preload

↓ Left heart preload

↓ LV systolic performance

↓ RV filling

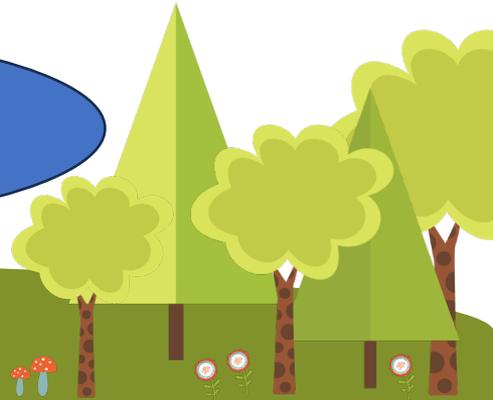
*Compensatory tachycardia*

TNE: Hyperdynamic profile  
BP= CO x SVR

*Ventricular interdependence*

↓ CO and shock

Kharrat A Pediatric Res 2022



# Cold shock

↑ SVR

↓ LV systolic performance

*Compensatory tachycardia and increased contractile force*

↓ Stroke volume

↑ end-diastolic pressure

*Ventricular dysfunction*

↓ Cardiac filling

↓ CO and shock

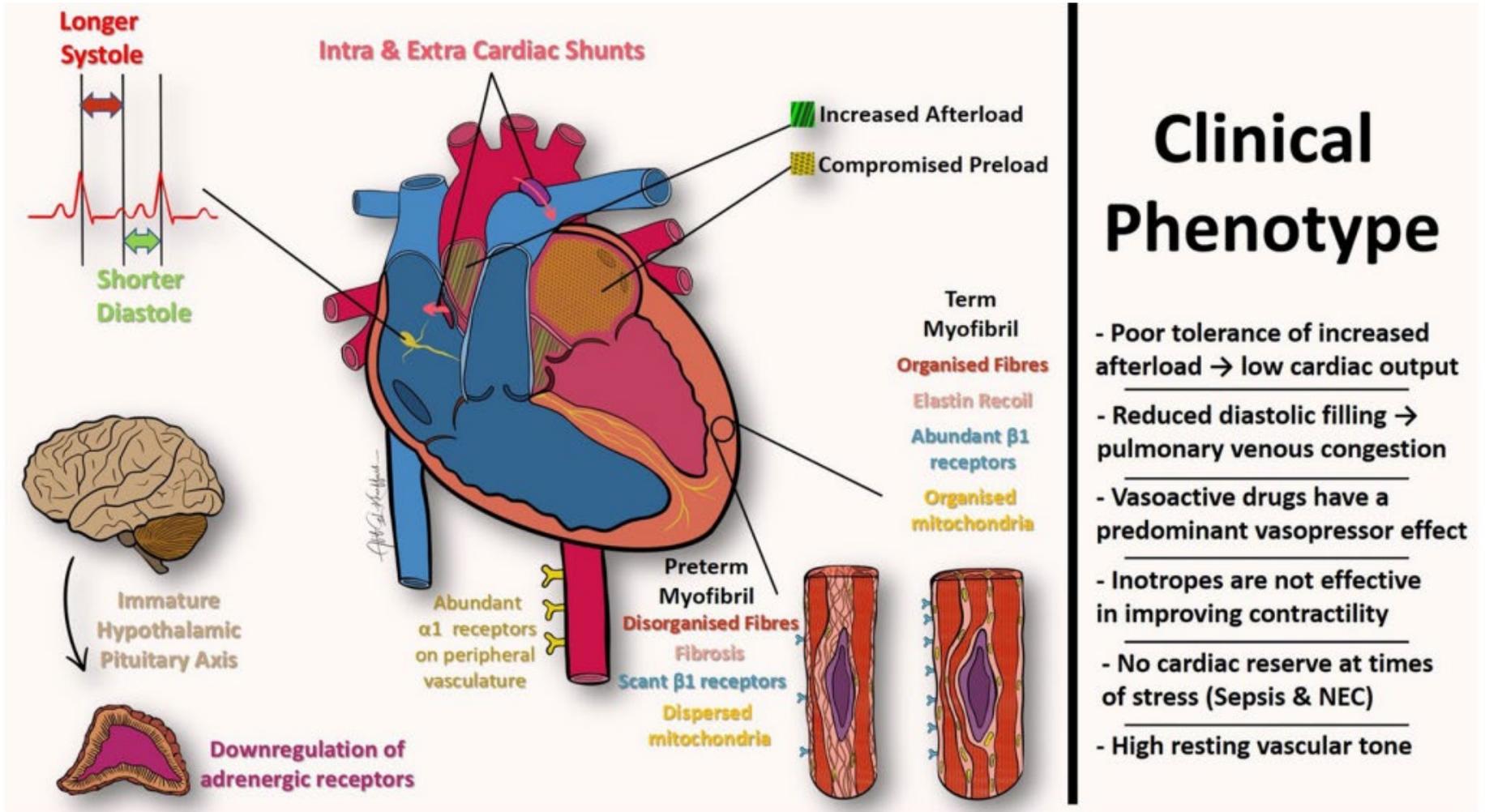
Kharrat A Pediatric Res 2022



# Hemodynamic phenotype of sepsis differs with age

- Adults: Decreased SVR and increased CO (warm shock)
- Children: Non-hyperdynamic state with reduced CO and increased SVR (cold shock)
- Neonates: variable presentation, most commonly low SVR state

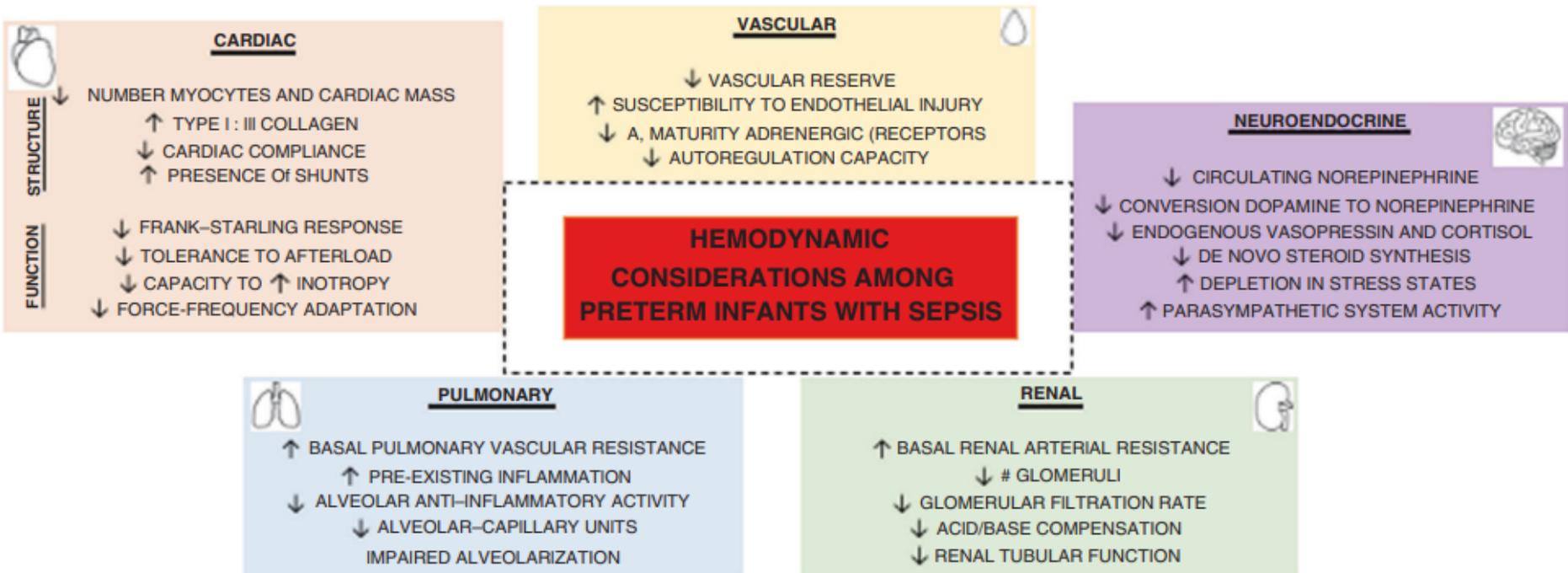




**Figure 2** Premature pathophysiology is unique. Differences in the preterm and term myocardia and underlying pathophysiological processes lead to a unique clinical phenotype in the preterm neonate when the cardiovascular system becomes compromised. NEC, necrotising enterocolitis.

# Hemodynamic of sepsis in preterm

A. Kharrat and A. Jain



# Role of pathogens

GBS tend to present cold shock with marked decrease in CO and BP maintained by vasoconstriction

E. coli or Gram-negative sepsis tends to present as a warm shock



# Hemodynamic monitoring of sepsis

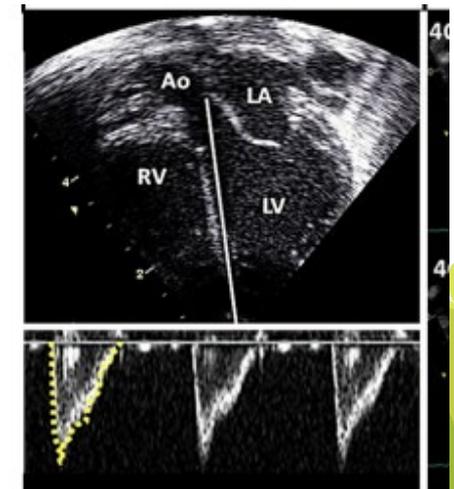
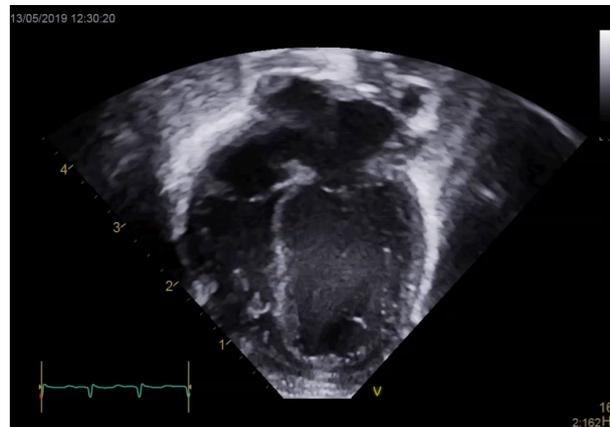
- Basic
  - HR
  - BP – systolic, diastolic , MAP
  - Central venous pressure (CVP)
  - Central venous oxygen saturation (ScvO<sub>2</sub>),
  - Perfusion pressure (MAP-CVP)
  - Lactate
- Advanced
  - Cardiac output
  - Contractility, preload and afterload
  - NIRS

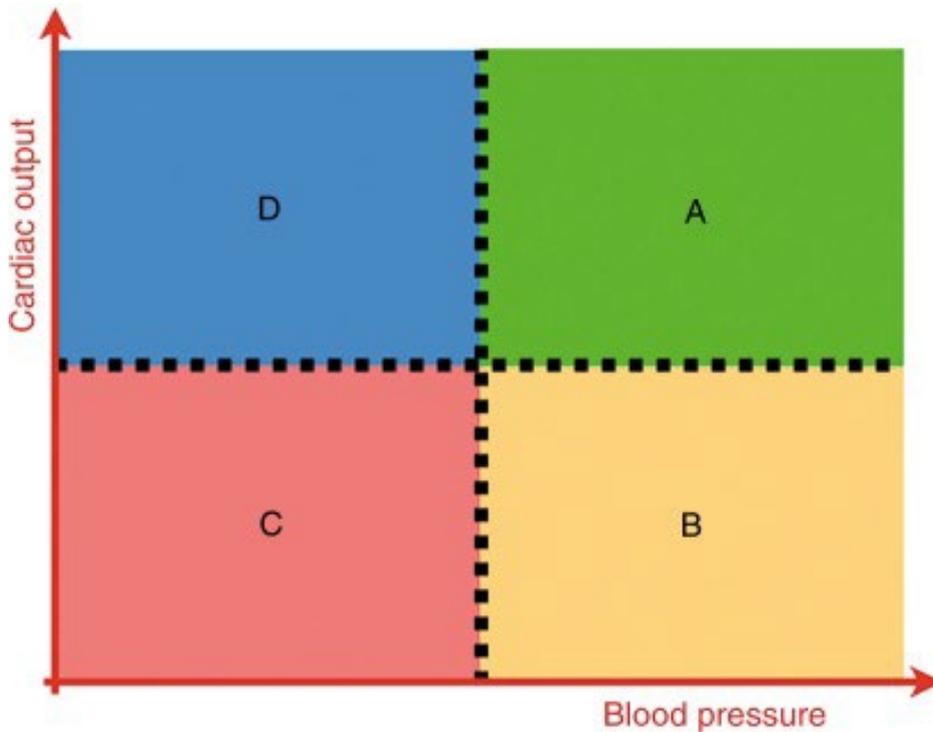


**Table 1 Measurement of hemodynamic parameters using different non-invasive tools**

Hemodynamic parameter	Echo	Trans-thoracic Trans-esophageal Doppler	Electrical cardiometry	NIRS
Preload (fluid responsiveness)				
IVCDI	+	-	-	-
CI before and after fluid bolus	+	+	+	-
Afterload				
SVRI	+	+	+	-
Contractility				
CI	+	+	+	-
End-organ perfusion				
rSO <sub>2</sub>	-	-	-	+

IVCDI: Inferior vena cava distensibility index; CI: Cardiac index; SVRI: Systemic vascular resistance index; rSO<sub>2</sub>: Regional tissue oxygen saturation; NIRS: Near infra-red spectroscopy.





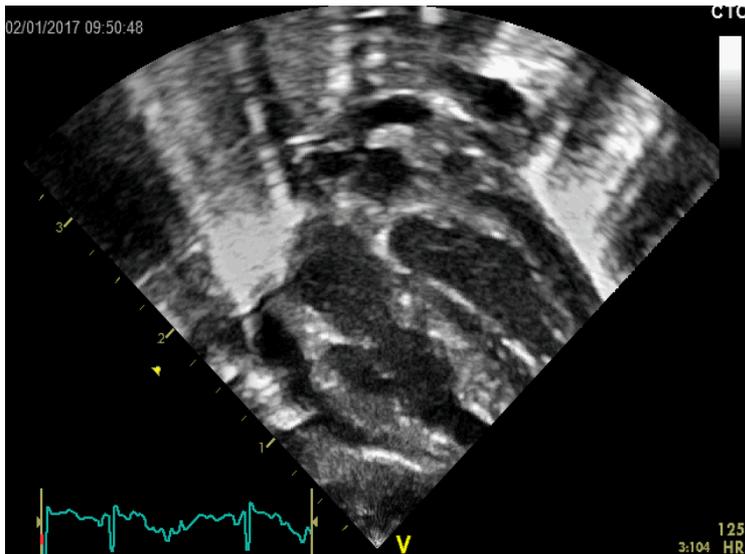
	Cardiac output	Blood pressure	Situation
A	Normal/High	Normal/High	Normal
B	Low	Normal/High	Compensated shock
C	Low	Low	Uncompensated shock
D	Normal/High	Low	Hyperdynamic circulation

Identification of the stage of shock by simultaneous measurement of cardiac output and blood pressure

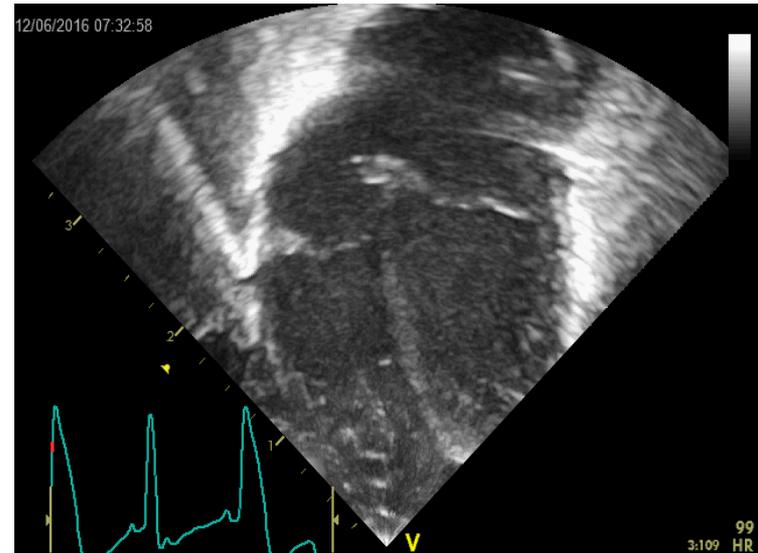
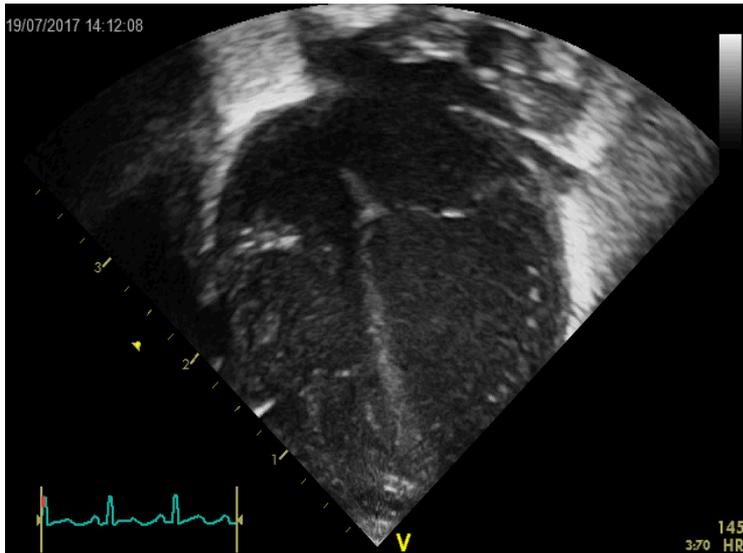
[De Boot WP. \*Pediatr Res.\* 2018; 84\(Suppl 1\): 57–67](#)



The ventricular walls collapse and touch each other during systole (Kissing ventricles)



# Cardiac contractility



ORIGINAL ARTICLE



## Functional echocardiographic preload markers in neonatal septic shock

Shiv Sajan Saini<sup>a</sup> , Venkateshan Sundaram<sup>a</sup> , Praveen Kumar<sup>a</sup> and Manoj Kumar Rohit<sup>b</sup>

<sup>a</sup>Division of Neonatology, Department of Paediatrics, Post Graduate Institute of Medical Education & Research, Chandigarh, India;

<sup>b</sup>Department of Cardiology, Post Graduate Institute of Medical Education & Research, Chandigarh, India

**Table 2.** Preload markers before and after fluids resuscitation.

Variable	Baseline ( <i>n</i> = 16)	After 10 mL/kg ( <i>n</i> = 16)	After 20 mL/kg ( <i>n</i> = 8)	<i>p</i> -Value
Inferior Vena Cava Collapsibility Index (%)	74 (33, 100)	48 (13, 93)	50 (40, 69)	.05
Left ventricle end diastolic volume (mL)	1.3 (1.1, 1.7)	1.4 (1.0, 1.8)	1.4 (1.0, 2.0)	.42
Left ventricle end diastolic volume index (mL/m <sup>2</sup> )	2.9 (2.4, 3.6)	2.2 (1.8, 3.4)	3.6 (2.4, 3.9)	.42
Left ventricle end systolic volume (mL)	12 (10, 15)	13 (9, 15)	11 (10, 16)	.31
Left Ventricle End Systolic Volume Index (mL/m <sup>2</sup> )	25 ± 5	24 ± 6	28 ± 6	.32

**Among five preload markers, only IVC-CI was significantly elevated in neonates with septic shock as compared to hemodynamically stable controls**



# Basic principles of sepsis management

- Early recognition
- Prompt antibiotic treatment
- Frequent assessment and reevaluation of vitals
- In septic shock: fluid +/- vasoactive medication



# Therapeutic goals

- Aim: to restore blood flow and oxygen delivery to tissues so that perfusion and aerobic cellular metabolism are restored and preserved
- Clinical goals:
  - Normal pulses with no difference in peripheral and central pulses,
  - CRT < 2 sec,
  - Warm extremities
  - Urine output >1 ml/kg/hr
  - Low serum lactate and mixed venous saturation >70%



What is initial vasoactive agents for septic shock in neonate ?



# Surviving Sepsis Campaign Guidelines

- ***Adult patients with septic shock:*** “**Norepinephrine** as the first-line vasopressor, over Dopamine” [strong recommendation, moderate level of evidence]  
12% RR in mortality (95% CI 4% - 20%) and fewer complications
- ***Pediatric patients with septic shock:*** “using epinephrine or norepinephrine, rather than dopamine” [weak recommendation, low quality of evidence]
- Near complete absence of evidence for neonates with septic shock

“acknowledging that neonatal sepsis, especially in premature neonates, may have a distinct pathology, biology, and therapeutic considerations, newborns less than 37 weeks gestation are excluded from the scope of these guidelines”



## Epinephrine vs Dopamine for neonatal septic shock

- A double-blind RCT of 40 neonates with fluid refractory septic shock.
- No difference in shock reversal ( 25% versus 30% )after 45 min of therapy or mortality (70% vs 80%)
- Subgroup analysis, Epinephrine was more effective than dopamine in reversing shock and hemodynamic instability in premature neonates under  $\leq 30$  weeks' gestation.

Baske K, Saini SS, Dutta S, et al. Epinephrine versus dopamine in neonatal septic shock: a double-blind randomized controlled trial. *Eur J Pediatr.* 2018;177:1335–1342.

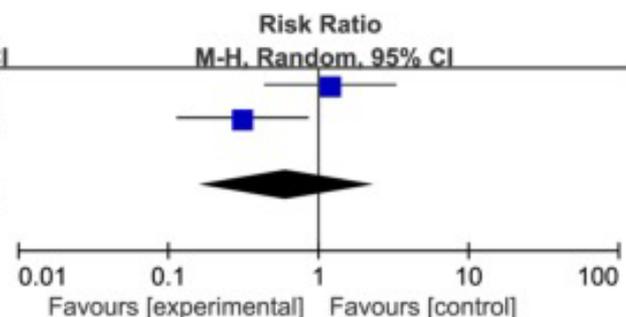


# Dopamine versus epinephrine for pediatric or neonatal septic shock: a meta-analysis of randomized controlled studies

Wen L. Italian J Pediatr 2020

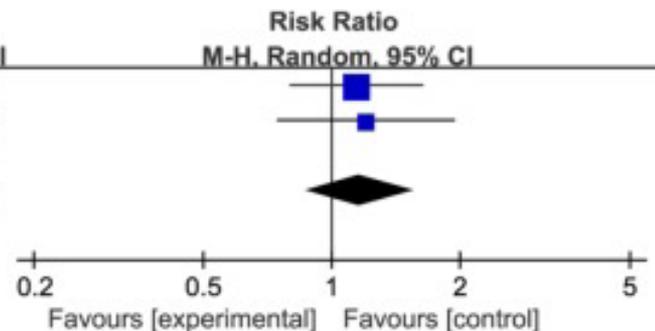
## Shock reversal within 1 hours

Study or Subgroup	Dopamine group		Epinephrine group		Weight	Risk Ratio	
	Events	Total	Events	Total		M-H, Random, 95% CI	95% CI
Baske 2018	6	20	5	20	50.0%	1.20	[0.44, 3.30]
Ramaswamy 2016	4	31	12	29	50.0%	0.31	[0.11, 0.86]
<b>Total (95% CI)</b>		<b>51</b>		<b>49</b>	<b>100.0%</b>	<b>0.61</b>	<b>[0.16, 2.31]</b>
Total events	10		17				
Heterogeneity: $\tau^2 = 0.65$ ; $\chi^2 = 3.45$ , $df = 1$ ( $P = 0.06$ ); $I^2 = 71\%$							
Test for overall effect: $Z = 0.72$ ( $P = 0.47$ )							



## Mortality

Study or Subgroup	Dopamine group		Epinephrine group		Weight	Risk Ratio	
	Events	Total	Events	Total		M-H, Random, 95% CI	95% CI
Baske 2018	16	20	14	20	64.0%	1.14	[0.80, 1.64]
Ramaswamy 2016	18	31	14	29	36.0%	1.20	[0.74, 1.95]
<b>Total (95% CI)</b>		<b>51</b>		<b>49</b>	<b>100.0%</b>	<b>1.16</b>	<b>[0.87, 1.55]</b>
Total events	34		28				
Heterogeneity: $\tau^2 = 0.00$ ; $\chi^2 = 0.03$ , $df = 1$ ( $P = 0.86$ ); $I^2 = 0\%$							
Test for overall effect: $Z = 1.03$ ( $P = 0.30$ )							



- N= 3 studies
- Shock reversal after 1 hour



# Use of vasopressors for septic shock in the neonatal intensive care unit

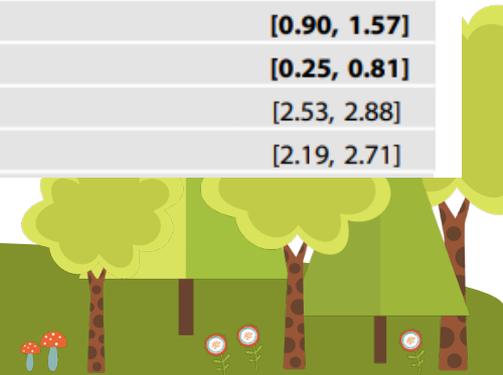
Henry P. Foote<sup>1</sup>, Daniel K. Benjamin<sup>2</sup>, Rachel G. Greenberg<sup>1,3</sup>, Reese H. Clark<sup>4</sup> and Christoph P. Hornik<sup>1,3</sup>✉

© The Author(s), under exclusive licence to Springer Nature America, Inc. 2023

- Multicentre cohort study in 175 NICUs in US
- N= 1592 ( Median GA; 25 wk BW: 760g
- Mortality rate 50%

**Table 3.** Adjusted outcomes for septic shock episodes based on treatment combination, from multivariable logistic (mortality) and Poisson (pressor-free days) regression.

<i>Treatment group</i>	<b>Mortality OR</b>	<b>95% CI</b>	<b>Pressor-free days</b>	<b>95% CI</b>
Solo dopamine ( <i>n</i> = 883)	Ref.		3.40	[3.19, 3.62]
Solo epinephrine (81)	<b>4.66</b>	<b>[2.35, 9.23]</b>	2.73	[1.92, 3.54]
Solo dobutamine (25)	2.12	[0.67, 6.68]	3.56	[2.03, 5.09]
Dopamine + dobutamine (211)	<b>2.31</b>	<b>[1.47, 3.64]</b>	<b>2.14</b>	<b>[1.75, 2.53]</b>
Dopamine + epinephrine (218)	<b>6.18</b>	<b>[3.75, 10.2]</b>	<b>1.24</b>	<b>[0.90, 1.57]</b>
Dopamine + dobutamine + epinephrine (136)	<b>15.6</b>	<b>[7.59, 32.2]</b>	<b>0.53</b>	<b>[0.25, 0.81]</b>
No hydrocortisone (986)	Ref.		2.70	[2.53, 2.88]
Hydrocortisone (606)	<b>0.60</b>	<b>[0.42, 0.86]</b>	2.45	[2.19, 2.71]



# Norepinephrine in neonates

Norepinephrine infusion improves haemodynamics in the preterm infants during septic shock. [M Y Rizk](#), et al *Acta Paediatrica* 2018 March: 107(3):408-413

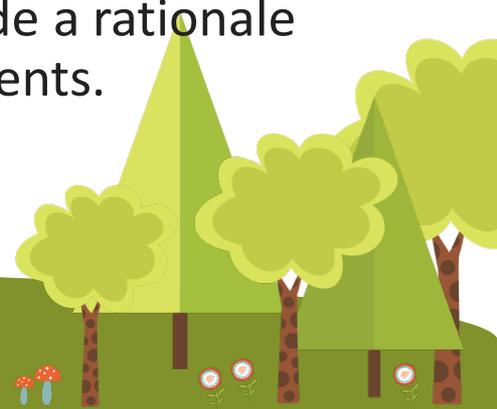
[The effect of norepinephrine on clinical and hemodynamic parameters in neonates with shock: a retrospective cohort study.](#) Gupta S, et al. *Eur J Pediatr.* 2022 Jun;181(6):2379-2387

[Use of norepinephrine in preterm neonates with dopamine-resistant shock: a retrospective single-centre cross-sectional study.](#) Pei Lu, et al. *BMJ Paediatr Open.* 2023; 7(1): e001804.



# Norepinephrine versus Dopamine in NICU

- Retrospective study in 2 centres in Toronto
- N= 156 over 10 years
- Dopamine=113 Norepinephrine= 43
- After PS adjustment, NE was associated with lower episode-related mortality [aOR 95% CI 0.55 (0.33, 0.92)], pre-discharge mortality [0.60 (0.37, 0.97)], post-illness new diagnosis of significant neurologic injury [0.32 (0.13, 0.82)], and subsequent occurrence of NEC/sepsis among the survivors [0.34, (0.18, 0.65)].
- NE may be more effective than DA for management of sepsis-related hypotension among preterm infants. These data provide a rationale for prospective evaluation of these commonly used agents.



# Currently limited information of choice of vasoactive medication

- Cold shock phenotype: Inotrope ( Dopamine or epinephrine)
- Warm shock: Vasopressor (Norepinephrine or high dose dopamine, vasopressin)



# Cold shock

↑ SVR

↓ LV systolic performance

*Compensatory tachycardia and increased contractile force*

↓ Stroke volume

↑ end-diastolic pressure

**Ventricular dysfunction**

↓ Cardiac filling

Inotropes (Dopamine or epinephrine)

↓ CO and shock



# Warm shock

↓ SVR

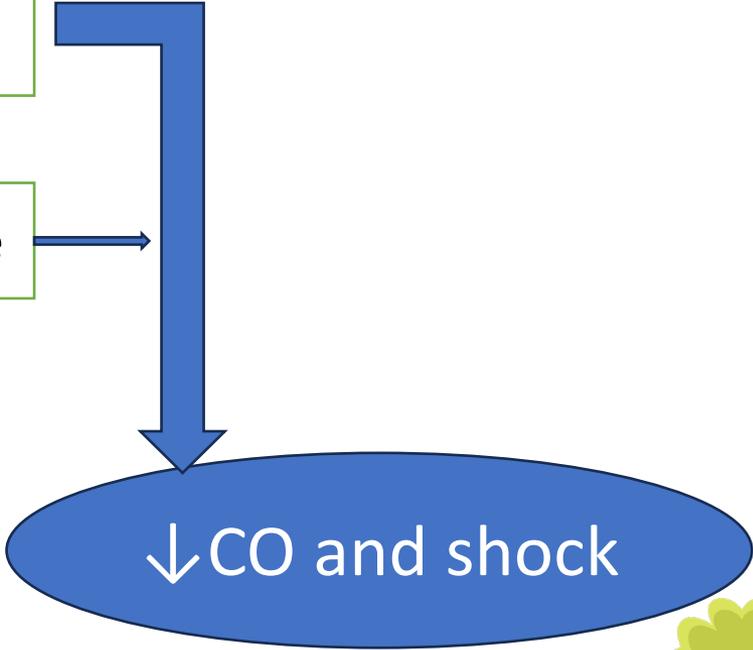
Vasopressor (NE, Dopamine, Vasopressin)

↓ SV return  
↓ Right heart preload

↓ Left heart preload

↓ LV systolic performance

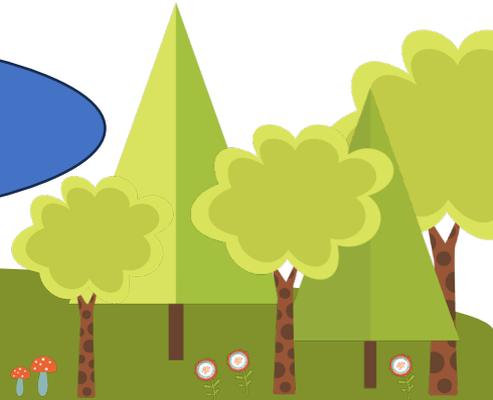
↓ RV filling



↓ CO and shock



Kharrat A Pediatric Res 2022



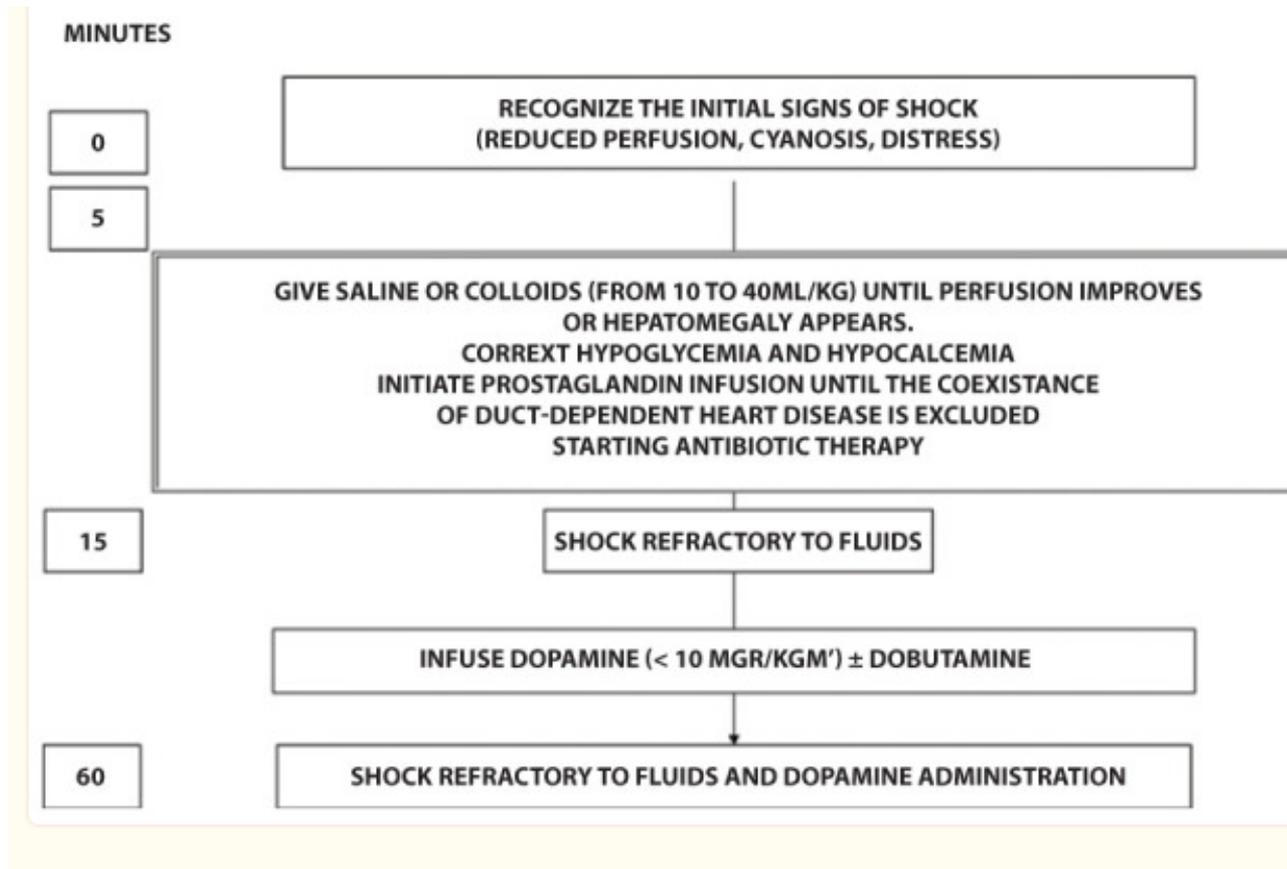
## Vasoactive Drugs Mode of Action

	<b>SV</b>	<b>SVR</b>	<b>PVR</b>
<b>Adrenaline</b>	↑↑↑	↑↑↑	↑↑
<b>Noradrenaline</b>	↑/≈	↑↑↑	↓/≈
<b>Vasopressin</b>	≈	↑↑↑	↓/≈
<b>Dobutamine</b>	↑↑	↓/≈	≈
<b>Milrinone</b>	↑↑	↓↓	↓↓
<b>Dopamine</b>	↑	↑↑	↑↑↑

**SV = stroke volume; SVR = systemic vascular resistance; PVR = pulmonary vascular resistance**  
**↑ = increase; ↓ = decrease; ≈ = no effect**



# Treatment of septic shock



•Davis AL,et all. American College of Critical Care Medicine Clinical Practice Parameters for Hemodynamic Support of Pediatric and Neonatal Septic Shock. *Crit Care Med.* 2017;45:1061-1093.]



### Fluid refractory-dopamine resistant shock?

Titrate Epinephrine 0.05 -0.3 µg/kg/min

60 min

### Catecholamine-resistant shock?

#### Goals

Normal MAP-CVP.ScvO<sub>2</sub> >70 %, SVC flow >40 mL/kg/min or CI > 3.3 L/m<sup>2</sup>/min

#### Cold Shock

Normal Blood Pressure  
Poor LV function  
ScvO<sub>2</sub> < 70, Hgb ≥ 12 g/dL  
SVC flow < 40 mL/kg/min  
or CI < 3.3 L/m<sup>2</sup>/min?

Add Nitrovasodilator,  
Milrinone  
with volume loading

#### Cold Shock

Poor RV function PPHN  
ScvO<sub>2</sub> < 70%  
SVC flow < 40 mL/min  
or CI < 3.3 L/m<sup>2</sup>/min?

Inhaled Nitric Oxide  
Inhaled Iloprost/ IV Adenosine  
IV Milrinone, ? Levosimendan

#### Warm Shock

#### Low Blood Pressure?

Titrate Volume  
Add Norepinephrine  
? Vaso/Terlipressin/  
Angiotensin  
Keep ScvO<sub>2</sub> >70%,  
SVC flow > 40 mL/kg/min,  
or CI > 3.3 L/m<sup>2</sup>/min  
with Inotropic Support

### Refractory Shock?

Evacuate pneumothoraces and pericardial effusion. Give Hydrocortisone if Absolute Adrenal Insufficiency and T<sub>3</sub> if Hypothyroid. Begin Pentoxifylline if VLBW newborn. Consider Closing PDA if hemodynamically significant.

ECMO  
(110 mL/kg/min)

Vasoactive Drugs Mode of Action

	SV	SVR	PVR
Adrenaline	↑↑↑	↑↑↑	↑↑
Noradrenaline	↑/=	↑↑↑	↓/=
Vasopressin	=	↑↑↑	↓/=
Dobutamine	↑↑	↓/=	=
Milrinone	↑↑	↓↓	↓↓
Dopamine	↑	↑↑	↑↑↑

SV = stroke volume; SVR = systemic vascular resistance; PVR = pulmonary vascular resistance  
↑ = increase; ↓ = decrease; = = no effect

## Summary

- The phenotypic presentation of septic shock in neonates vary with type of infection and can change during course of illness.
- Comprehensive hemodynamic monitoring is important for an individualized pathophysiology-based hemodynamic management
- Treatment should be based on underlying mechanisms and need frequent **reevaluation**, and **readjustment** of management strategies is important to improve outcome.



THANK YOU

